

NATIONAL TREASURY ONTARIO

MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DORA)

Note - Must be filed to: 617-315-5292/695-850-5417 & emailed to fmgr@treasury.on.ca. The municipality is advised to confirm receipt by calling 617-315-5292/695-850-5417.
 Note - Fields highlighted in yellow should be completed. Other fields are automated and reserved for comments. The Municipality is required to provide complete and supporting documentation where necessary.

Name of Municipality: **LMH71 Mahuduhama**
 Financial Year: **2020/21**
 Month: **09/03/2021**

Section A: Previous Financial Year

| Financial Management Grant Received and Expenditure Incurred | 2019/20 | Band | Comment |
|--|--------------|------|---|
| Total FMG received | 1,770,000.00 | | |
| Total FMG Expenditure | 1,770,000.00 | | |
| FMG account | 0.00 | | Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount. |
| FMG account and returned to the National Revenue Fund | 0.00 | | Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off equitable share |
| Total FMG unspent as of end of financial year | 0.00 | | Note - This should be funds that are approved for NT in rollover |

Section B: Current Financial Year

| Financial Management Grant Received and Expenditure Incurred | 2020/21 | Band | Comment |
|--|--------------|------|--|
| Total FMG received for current financial year | 1,700,000.00 | | |
| Total unspent FMG approved for rollover (Refer to Section A: FY19) | 0.00 | | |
| Total FMG received | 1,700,000.00 | | |
| Total spent year-to-date (See last month's return - Section B: FY19) | 1,513,770.51 | | Please note for July's return, this amount would be 0. |

| Total spending this month | Aggregate spending from previous months | Total spending to date | Allocation as per support plan | Allocation Unspent | Comment |
|--|---|------------------------|--------------------------------|--------------------|---|
| - Interest Expenses/Salaries and Training | 101,719.07 | 212801.99 | 40000.00 | 102,200.04 | |
| - Training in support of Minimum Competency Regulations | 1,423.90 | 1522.99 | 45000.00 | 456,676.10 | |
| - Towards strengthening capacity in Budget and Treasury Office (BTO), Internal audit and audit committee | | 0.00 | 25000.00 | 252,000.00 | |
| - Acquisition, Upgrading and Maintenance of Financial Systems and Model | | 0.00 | 40000.00 | 400,000.00 | |
| - Preparation and filing submission of Annual Financial Statements for audit | | 0.00 | 0.00 | 0.00 | |
| - Support implementation of corrective actions to address audit findings | | 0.00 | 0.00 | 0.00 | |
| - Preparation and implementation of Financial Recovery Plans | | 0.00 | 0.00 | 0.00 | |
| - Address shortcomings identified in the FMCMM Assessment report | | 0.00 | 0.00 | 0.00 | |
| - Support the implementation of the financial risk/audit committee and strategic compliance management | | 0.00 | 0.00 | 0.00 | |
| - To strengthen financial governance and oversight as well as functioning of WFOAC | | 0.00 | 0.00 | 0.00 | |
| Total FMG spent | 1,513,770.51 | 215000.98 | 40000.00 | 170000.00 | |
| Percentage spent | 85.05 | | | 170000.00 | |
| Total FMG unspent for current financial year | 186,229.49 | | | | Note - AG/IRM must return any unspent FMG allocations not approved for rollover, to the National Revenue Fund |

Section C: Current Financial Year

The municipality is required to complete and submit the FMG Support Plan to the National Treasury by 7th April, prior to the commencement of the new financial year and any amendments thereafter, within 30 days.

| Performance Information: Institutional | Yes/No | Number | CPD Awaiting Yes/No | Name of CEO | IRM Acting (Yes/No) | Name of IRM |
|---|--------|--------|---------------------|--------------------|---------------------|------------------|
| Appointment of immediately skilled CFO consistent with the competency regulations | Yes | 1 | 0 | M. Muscarelli, CIM | No | Ms. Ramondi, MHI |
| Appointment of immediately skilled Senior Financial Managers in the BTO | Yes | 5 | | | | |
| Appointment of immediately skilled Internal Audit personnel | Yes | 3 | | | | |
| Appointment of immediately skilled SCM personnel | Yes | 7 | | | | |
| Number of return specialist | | 7 | | | | |

Section D: Current Financial Year

| Performance Information: Audit Outcomes | 2019/20 | 2019/20 | Audit Action Plan in place (Yes/No) | Audit Action Plan Implemented (Yes/No) | Total number of Items on Audit Action | Number of Items completed on the Audit Action Plan | Number of Items outstanding on the audit action plan | Planned completion date | There are still 0 questions you have not answered in this section? |
|---|------------------------------|-----------------------|-------------------------------------|--|---------------------------------------|--|--|-------------------------|--|
| Audit Outcome achieved | Unqualified with no findings | Outcome still pending | | | | | | | There are still 0 questions you have not answered in this section? |
| Audit Action Plan | | | Yes | Yes | 65 | 34 | 29 | 30-Sep-21 | There are still 0 questions you have not answered in this section? |

| Performance Information: Financial Management Capacity Maturity Model (FMCMM) | Development of an action plan to address the shortcomings identified in FMCMM and ratio assessment report | Modifies and notes that this municipality will be addressing | Total number of Items on the FMCMM and ratio Action plan | Number of Items completed on the FMCMM and ratio Action Plan | Number of Items outstanding on the FMCMM and ratio action plan | Planned completion date | There are still 0 questions you have not answered in this section? |
|---|---|--|--|--|--|-------------------------|--|
| Did the municipality develop an action plan to address the shortcomings identified in the FMCMM and ratio assessment report | Yes | Capacity building, Human Resources, APB, Assets Management, Budget, Compensation of Employment, Grants & Funds, Information & Technology, Internal Audit, Liability, Revenue, Risk Management, SCM | 81 | 35 | 46 | 30-Sep-20 | There are still 0 questions you have not answered in this section? |
| The FMCMM action plan must be submitted to NT by 30 September and a progress report on implementation of the plan on a quarterly basis thereafter | Yes | | | | | | |

| Performance Information: Internal Audit Units (IA) and Audit Committees (AC) | Yes/No | Outsourced Co-Sourced | No of Resolutions and recommendations | Number Implemented | Number Outstanding | There are still 0 questions you have not answered in this section? |
|--|--------|-----------------------|---------------------------------------|--------------------|--------------------|--|
| Internal Audit Unit Established | Yes | Co-Sourced | 49 | 26 | 14 | There are still 0 questions you have not answered in this section? |
| Audit Committee Established | Yes | Outsourced | 40 | 34 | 6 | There are still 0 questions you have not answered in this section? |
| Resolutions and recommendations of IA | | | | | | There are still 0 questions you have not answered in this section? |
| Resolutions and recommendations of AC | | | | | | There are still 0 questions you have not answered in this section? |

| Performance Information: Disciplinary Boards | Established Yes/No | Functional Yes/No | They meet this month | What were the resolutions taken (Attach copies of the resolutions) | There are still 0 questions you have not answered in this section? |
|--|--------------------|-------------------|----------------------|--|--|
| Is the disciplinary board established and functional | No | No | No | None | There are still 0 questions you have not answered in this section? |

Confirmation & Authorization from the Accounting Officer & Chief Financial Officer or Delegates

Name of the Chief Financial Officer: Megaredi Rini Signature: [Signature] Date: 14/04/2021

Name of the Accounting Officer: Mrs Ramondi Signature: [Signature] Date: 14/04/2021